

SS&C Intralinks for Subpoena Response Management















Secure, cost-efficient digital document exchange for managing thousands of subpoena requests

For banks and other highly regulated corporations, managing and responding to third-party document subpoenas is a compliance perfect storm. There are high volumes of requests, short deadlines, documents filled with personally identifiable information (PII), multiple jurisdictions, combinations of regulations that will apply depending on the jurisdiction, plus severe penalties and bad press if things go wrong.

Intralinks enables high-volume digital subpoena document

delivery that reduces costs while increasing efficiency and security. Our solution eliminates paper, email, CDs, FedEx/UPS shipping and support calls while dramatically improving the security and auditability of subpoena production.

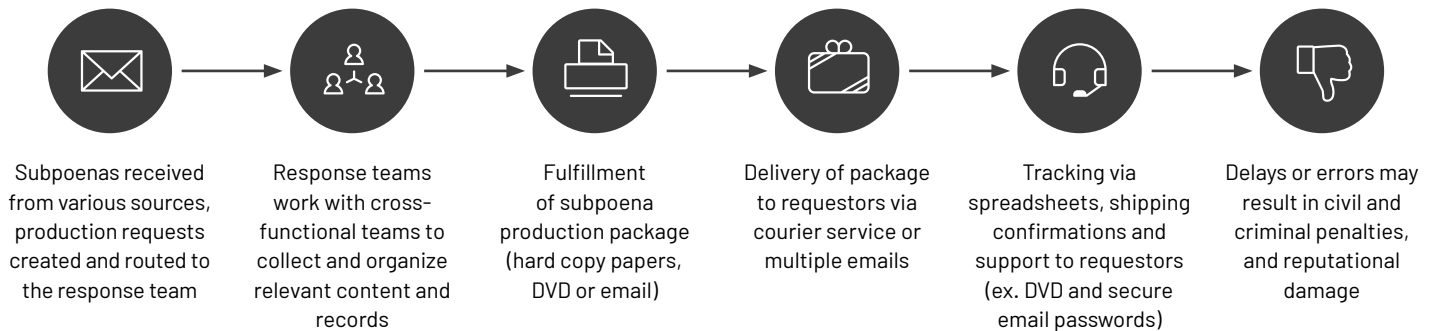
Intralinks is already security-vetted by all the major banks and most Fortune 1000 corporations for capital markets transactions. More than \$34 trillion in strategic transactions have been executed on our platform.

Challenge	Solution
 <p>Disparate/ remote colleagues, teams, collaborators; subpoena requests coming from multiple jurisdictions</p>	 <p>Secure document sharing to efficiently collect, centralize, organize and transmit responses to multiple requestors</p>
 <p>High-risk, high-value information to share/ collect (e.g. PII)</p>	 <p>Protect sensitive information with advanced encryption in-transit and at-rest</p>
 <p>High labor, shipping and (in some cases) secure email license costs – organizations with 2,000 subpoenas per month can spend close to \$400K annually</p>	 <p>Electronic delivery dramatically reduces costs and enhances user experience – Intralinks can reduce costs as much as 50%</p>
 <p>Time-sensitive, short timelines (14 days to object, if necessary)</p>	 <p>Real-time electronic document delivery, with support for large files (up to 2GB each file)</p>
 <p>Difficulty tracking progress across multiple requests</p>	 <p>Dashboards help cross-functional teams stay on top of tasks – monitor uploads, track document access, confirm receipts in real time</p>
 <p>High volume of documents/ thousands of concurrent activities with no transparency into the status of the response</p>	 <p>Granular audit trails and compliance reporting document integrity and defensibility of the entire process</p>
 <p>Custom development involves significant time and resources</p>	 <p>SaaS solution is quickly deployed; Intralinks provides support for subpoena response units and 24/7 password support for subpoena requestors</p>

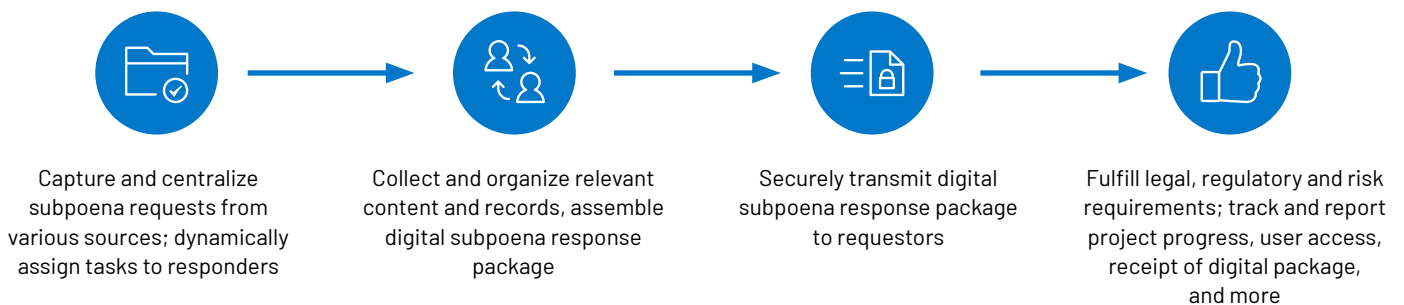
Subpoena production process

Banks and other highly regulated corporations face monthly loads of up to 6,000 third-party subpoenas, each typically requiring the assembly of many documents from disparate sources. Oftentimes files are sent using email, or burned onto CDs, packaged by hand, and then shipped via courier service. These processes can be costly, poorly tracked and error-prone, and open to loss or theft of data.

Without Intralinks



With Intralinks



Transform your subpoena response and production process.
Contact us for more information intralinks.com/contact/sales